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Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		<i>Complete if Known</i>			
		Application Number	10/099,794		
		Filing Date	March 15, 2002		
		First Named Inventor	Bich-Yen Nguyen		
		Group Art Unit	2818		
Sheet		of		Examiner Name	Dung Anh Le
				Attorney Docket Number	SC11360TP P01

U. S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. 1	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
	CA	6,541,079	B1	BOJARCZUK	04-01-2003	
	CB	6,008,091		GREGOR	12-28-1999	

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. 1	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Office*	Number ⁴	Kind Code ² (if known)			
	CC	PCT	WO 02/09167	A2	PARSONS	01-31-2002	
	CD	PCT	WO 02/01622	A2	LUCOVSKY	01-03-2002	

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS				T ²
Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.		
	CE	WARNER et al., "High Temperature Ionic Conductivity in the Trivalent Ceramic Electrolytes: LaA ₁₁ O ₁₈ and LaA ₁₂ O ₁₈ N," SOLID STATE IONICS 92, 1996, pp. 99-101.		
	CF	CABANAS et al., "LaA ₁₀ O ₃ Thin Film Deposited on Si(100) and MgO(100) substrates," SOLID STATE IONICS 101-103, 1997, pp. 191-195.		
	CG	PCT/US03/07717 INTERNATIONAL SEARCH REPORT		

Examiner Signature		Date Considered	
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation, if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. * See Kinds of U.S. Patent Documents. * Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. * Applicant is to place a check mark here if English Language Translation is attached.

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x

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				Application Number	
				Filing Date	
				First Named Inventor	Vidya S. Kaushik
				Group Art Unit	
				Examiner Name	
Sheet	1	of	2	Attorney Docket Number	SCI1360TP P01

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Examiner Signature		Date Considered	
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¹ Unique citation designation number. ² See Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English Language Translation is attached.

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Sheet	2	of
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Application Number

Filing Date

First Named Inventor

Group Art Unit

Examiner Name

Attorney Docket Number

Examine Initials*

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Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.

T

JUNG et al., "Electrical Characteristics of an Ultrathin (1.6 nm) TaOxNy Gate Dielectric," AMERICAN INSTITUTE OF PHYSICS, APPLIED PHYSICS LETTERS, Volume 76, No. 24, pp. 3630-3631 (2000).

**Examiner
Signature**

Date _____

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Signature	Considered	Not Considered
<p>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation, if not in conformance and not considered. Include copy of this form with next communication to applicant.</p>		

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